

ADKINSON Securities Public Company Limited

Attachment

Internet Trading Services (via Intranet & Internet)

I / We..... Age.....
Address..... request the use of Intranet and Internet services
for trading-data access and / or for trading activities of securities that listed on the Stock Exchange of Thailand (SET).

I, hereby, acknowledge, understand and agree to refrain from executing orders that resemble an intention of price manipulation as it will violate the SET's regulation regarding "Trading, Clearing and Settlement of Securities in the SET (No.2) 1999 section 5 under the topic "Performance of Members Concerning Securities Trading" which prohibit the members of the SET from any activities that will deviate stock prices and volumes from normal market conditions (False market). This includes the followings:-

1. Trading of securities in a series in a series of 100-200 shares per order with an intention of either pushing the price higher or lower. This kind of behavior is normally found in securities that have high liquidity.
2. Sending bid or offer orders in a large volume with no intention of execution but only to mislead the general public of a strong interest on either buy or sell side at certain time. Once an execution about to occur, the orders are withdrawn. Or sending orders during Pre-opening or Pre-closing session at a price higher or lower than a proper price and cancels those orders afterward.
3. Sending bid and offer orders at a price too high or too low from the proper price which might influence the overall trading activities and / or the SET index in the Pre-opening, Trading, Pre-closing and the Call market sessions.
4. Sending bid or offer orders in securities on their first day of trading at a price much higher or lower than the IPO price or the projected price which might influence the overall trading activities and / or the SET index in Pre-opening, Trading, Pre-closing and Call market sessions.
5. Sending bid or offer orders as a 'Basket Order' and wanting to complete the order. Marketing officer who receives the client's consent for the timing of execution will spare around 10-20% of the order to execute during the Call market with an intention to manipulate the closing price. This activity might affect the share price and the SET index.
6. Sending bid or offer orders in such a way that its beneficiary from these activities fall onto a same person. Or sending bid orders in awareness of your own offer orders or your accomplice's offer orders of the same securities or mutual funds of the same type and category. Or sending offer orders in awareness of your own bid orders or your accomplice's bid orders of the same securities or mutual funds of the same type and category.

I, the undersigned, have thoroughly read, Understood and agreed to the contents of this attachment.

Sign.....Client
(.....)
...../...../.....



Thailand Securities Depository Co., Ltd.

62 The Stock Exchange of Thailand Building, 4th Floor, Rachadapisek Road, Klongtoey, Bangkok 10110 Thailand. Tel 02-2292800 Fax.(662) 3591259

Notification Letter for Payment of Dividend / Interest to Bank's Account

To The Managing Director of the Thailand Securities Depository Co., Ltd.

I,security holder registration number (if any)
.....identification card /juristic registration number.....as

- ☐ Security holder holding (specify the name of the security held).....
.....
☐ Owner of the security depositing securities with the Thailand Securities Depository Co., Ltd. through the
depositing company's number.....

Requests that (Please mark X in either circle provided below. If the second choice is chosen, please specify the conditions).

- ☐ 1. I would like to receive my dividend/interest by crediting my bank account as according to the details in the box below.
☐ 2. I would like to change my condition in receiving the dividend/interest by
☐ Changed from crediting bank account to physical cheque
☐ Change the account as according to the details in the box below

Details of my bank account (account name of the security holder / security owner only. Joint account is prohibited)

Account Name.....

Bank.....

Type of account ☐ Savings ☐ Current Account No.

The requests made herein shall include all securities that I hold whether in physical certificate form or deposited in the depository system of the Thailand Securities Depository Co., Ltd. through the depositing company as of present period as well as in the future until and unless further requests have been made.

I do abide to the recommendations mentioned on next page and also agree that if I do not abide to it, I may have any inconveniences or any damages which I must not claim from the Thailand Securities Depository Co., Ltd.

Signature Security holder

(.....)

...../...../.....

Attached documents/...(Please see next page)

***** **For the company depositing securities with the Thailand Securities Depository Co., Ltd.** *****

I, depositing company.....number.....

certify that the information stated by the security owner above is true. No matter under what conditions, if any damages or losses are incurred to the Thailand Securities Depository Co. Ltd. according to information rectified as mentioned in this form, I shall be held responsible and shall compensate in full.

SignatureAuthorized person SignatureAuthorized person

(.....)

(.....)

Other Documents to be submitted along with this document under various conditions

(All photocopies must be certified as true)

Natural Person

1. Copy of the security owner's identification card or
2. Copy of other card, house registration certificate (which appears the name and identification number of the security owner) or
3. Copy of alien certificate or passport (For other nationality)

Juristic Person

1. Copy of Affidavit issued by Ministry of Commerce not exceeding 1 year and
2. Copy of the identification card of the director empowered as signatory.

Minor

1. Minor of 15 years of age can proceed with the corrections and must attach a certified copy of his/her identification card.
2. Minor of less than 15 years of age must assign his/her parent (Mother/father) or legal guardian as signatory and accordingly attach the following documents:
 - 2.1. Copy of birth certificate or house registration certificate which appears the name of the minor.
 - 2.2. Copy of the identification card of Father/Mother or legal guardian of the minor
 - * In case where either a father or mother signs the document, an evidence stating single parental power must be submitted.
 - * In case where the guardian is not the father or mother of the minor, an evidence stating legal guardianship must be submitted.

Bank Account

1. Copy of the front page of Savings Account passbook or
2. Copy of Bank Statement

All copies requested according to (1) or (2) must appear the name and account number of the security holder or security owner.

Recommendations

1. In case where the security owner deposits the securities with the Thailand Securities Depository Co., Ltd. Documents must be submitted to the registrar through the depositing company.
2. The registrar shall pay the dividend or interest to the security holder's bank account when the registrar has received the letter not less than 5 working days prior to the book closing date.
3. The registrar shall pay the dividend or interest by transferring money to the bank account only if the depositor has submitted the namelist of security holders to the Thailand Securities Depository Co., Ltd. on the book closing date of the company according to the methods employed and period specified.
4. Security holder or security owner will receive the dividend/interest in their accounts when the issuer has agreed to pay dividend/interest by transferring fund to such security holder or security owner.

Namelist of Banks Designated as Receiving Bank (Domestic branches only)

Bangkok Bank Pcl.	Siam City Bank Pcl.	ABN Amro Bank, N.v.
Krung Thai Bank Pcl.	UOB Radanasin Bank Pcl.	Sumitomo Mitsui Banking Corp.
Bank of Ayudhya Pcl.	Government Saving Bank	Citibank, N.A.
Thai Farmers Bank Pcl.	Standard Chartered Nakornthon Bank Pcl.	Deutsch Bank, A.G.
DBS Thai Danu Bank Pcl.	Government Housing Bank	International Commercial Bank of China
Thai Military Bank Pcl.	Bank of Asia Pcl.	Standard Chartered Bank
BANKTHAI Pcl.	Credit Agricole Indosuez	Bank of Tokyo-Mitsubishi Co., Ltd.
Siam Commercial Bank Pcl.	Chase Manhattan Bank	Hongkong and Shanghai Banking Corp. Ltd.
Bank of America		