



ADKINSON SECURITIES PUBLIC COMPANY LIMITED

Account Opening Documents

Head Office : 1st, 2nd, 3rd and 9th Floor, 132 Sindhorn Building (Tower 1), Wireless Road, Lumpini, Patumwan, Bangkok 10330
Telephone : 0-2205-7000 Facsimile : 0-2205-7171
www.asl.co.th
www.adkinsononline.com

Required Documents for Opening Account by Certifying True and Correct Copies

Individual Customer

- ** Copy of Identify Card (2 copies)
- ** Copy of House Registration (2 copies)
- ** Copy of Savings Account/Current Account Passbook (2 copies)
- ** Copy of Bank Statement, for Last 6 Months
- ** Salary Certificate/Salary Slip
- ** Stamp Duty Baht 30

In Case of Granting Power of Attorney

- ** Power of Attorney
- ** Copy of Grantee's Identity Card
- ** Copy of Grantee's House Registration
- ** Stamp Duty Baht 30 per one Grantee

Corporate Customer

- ** Copy Certification Document
- ** Copy of Memorandum of Association and Objects
- ** Copy of the List of Shareholders
- ** Minutes of Meeting to Open Securities Trading Account
- ** Copy Financial Statement, for Last 2 Years
- ** Copy of Bank Statement, for Last 6 Months
- ** Copy of Identify Card of Authorized Director
- ** Copy of House Registration of Authorized Director
- ** Power of Attorney for ASL
- ** Stamp Duty Baht 60

In Case of Granting Power of Attorney

- ** Power of Attorney
- ** Copy of Grantee's Identity Card
- ** Copy of Grantee's House Registration
- ** Stamp Duty Baht 30 per one Grantee



Application for Opening Securities Trading Account

** Head Office _____ ** Branch _____
 Customer Code _____
 Date _____

To : Managing Director of Adkinson Securities Public Company Limited

I/We wish to open a Securities Trading Account with your company. I/We hereby declare my/our information for your consideration as follows:

Type of Account			
Type	Trading Line (Unit : Baht)	Type	Trading Line (Unit : Baht)
** Cash Account		** Margin Loan Account of TSFC	
** Cash Balance Account		Account No.	
** Margin Loan Account - ASL		**	

Information of Individual Customer	
Name (Mr./Mrs./Miss) _____	Last Name _____ Age _____ yrs.
Day/Month/Year of Birth _____	Nationality _____ Tax ID No. _____
Highest Level of Education _____	Name of Education Institute _____
** ID Card ** Governmental ID Card ** Others _____	No. _____ Expiry Date _____
Occupation ** Government Officer/State Enterprise ** Company Employee ** Finance/Securities/Mutual Fund Management Company Employee	** Business Entrepreneur ** Others _____
Office/Company Office Address _____	Business Type _____
Position _____	Working Period _____ yrs.
Salary ** Less than 25,000 ** 25,000 – 50,000 ** 50,001 – 75,000 ** 75,001 – 100,000 ** More than 100,000	
Other Income per Month _____	Baht, i.e. _____
Status ** Single ** Married ** Divorced ** Spouse's died	Children _____ person
Spouse's Name _____	Former Last Name _____ Age _____ yrs.
Spouse's Office Name _____	Telephone _____
Position _____	Working Period _____ yrs., Other Income per Month _____ Baht, i.e. _____
Properties ** Family ** Owner	
1. _____	Approximate Value _____ Baht ** No Encumbrance ** Under Encumbrance
2. _____	Approximate Value _____ Baht ** No Encumbrance ** Under Encumbrance
3. _____	Approximate Value _____ Baht ** No Encumbrance ** Under Encumbrance

Information of Corporate Customer	
** Limited Company ** Limited Partnership ** Registered Ordinary Partnership ** Public Company	
Name _____	
Registration No. _____	Business Type _____ Tax ID No. _____
Incorporation Date _____	Registered Capital _____ Paid Up Capital _____
Company's Directors	
1. _____	2. _____
3. _____	4. _____
5. _____	6. _____
Directors whose signatures shall be binding the Company are _____	

Address Information				
• Present Address				
Address No. _____	Village _____	Trok/Soi _____	Road _____	
Sub-district/Khwaeng _____		District/Khet _____		Province _____ Post Code _____
Telephone _____		Facsimile _____		E-mail address _____
• Office				
Address No. _____	Village _____	Trok/Soi _____	Road _____	
Sub-district/Khwaeng _____		District/Khet _____		Province _____ Post Code _____
Telephone _____		Facsimile _____		E-mail address _____
• Contact Person, in case of Emergency				
Name (Mr./Mrs./Miss) _____			Relationship _____	
Address No. _____	Village _____	Trok/Soi _____	Road _____	
Sub-district/Khwaeng _____		District/Khet _____		Province _____ Post Code _____
Telephone _____		Facsimile _____		E-mail address _____

Information of Contact Financial Institutions			
Other Contact Securities Company	Type of Account	Trading Line (Baht)	Contact Period (yrs.)
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____

Contact Bank or Financial Institutions	Branch	Type of Account	Account No.	Contact Period (yrs.)
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____

Payment Information	
Bank Account for Payment of Securities Trading (ATS)	
Bank _____	Branch _____
Type of Account <input type="checkbox"/> Savings <input type="checkbox"/> Current	Account No. _____

In case of Under Process of ATS (Please specify – Receipt of Selling Securities)	
<input type="checkbox"/> Cheque <input type="checkbox"/> Others _____	
<input type="checkbox"/> Paying of Selling Securities to Bank Account <input type="checkbox"/> Savings <input type="checkbox"/> Current	
Bank _____	Branch _____ Account No. _____

Other Information	
Reference Person _____	Relationship _____ Telephone _____
Reference Company's Officer _____	Relationship _____
Investment Experience <input type="checkbox"/> No Experience <input type="checkbox"/> Experience _____ yrs.	
Investment Purpose <input type="checkbox"/> Long Term <input type="checkbox"/> Medium Term <input type="checkbox"/> Short Term <input type="checkbox"/> Others _____	

I/we certify and confirm that the above information is true and correct and I/we having understood and acknowledged the laws, announcements, notifications, rules or regulations of the Securities and Exchange Commission, the Office of the Securities and Exchange Commission and Stock Exchange of Thailand, governmental agencies, or companies related to Securities trading and margin loan for securities trading.

I/We agree to strictly comply with the rules and regulations of securities trading of the Company.

Sign _____ Customer

AGREEMENT APPOINTING AN AGENT / BROKERAGE FOR THE TRADING OF SECURITIES

Date

This Agreement is made at the office of Adkinson Securities Public Company Limited, Bangkok Metropolis/.....Province, by and between: (Mr./Mrs./Miss/Partnership/Company)....., Nationality....., address/office No., Moo, Trok/Soi, Road....., Sub-district/Khwaeng....., District/Khet, Province, Post Code, Telephone....., by (in case of juristic person), the authorized person(s) to sign on its behalf, hereinafter referred to as the "Customer", on the one part; and Adkinson Securities Public Company Limited, a juristic person organized under the laws of Thailand, having its principal office located at 2nd Floor, Sindhorn Building (Tower 1), No. 132 Wireless Road, Khwaeng Lumpini, Khet Patumwan, Bangkok Metropolis, hereinafter referred to as the "Company", on the other part. WHEREAS, the Customer wishes to appoint the Company as its agent and/or broker to purchase and/or sell securities for the Customer. Both parties hereby agree as follows:

Definitions

Clause 1. In this Agreement, unless the contents otherwise mean, the following terms or contents shall have the particular meanings as follows:

"Act" shall mean the Securities and Exchange Act, B.E. 2535 and shall include any Act or other law issued to amend this Act. In the case where there is any law issuing to replace this Act, it shall also include such issued law;

"SEC" shall mean the Office of the Securities and Exchange Commission and/or the Securities and Exchange Commission;

"Securities" shall mean treasury bills, bonds, bills, shares, debentures, investing units, warrants, debenture warrants, investing unit warrants as defined in the Act and any other instruments specified by the SEC as Securities;

"SET" shall mean Stock Exchange of Thailand, Over-the-Counter Centre or other organized stock exchange (Organized Exchange), as the case may be;

"Listed Securities" shall mean those Securities listed in the SET;

"TSD" shall mean Thailand Securities Depository Co., Ltd. and/or any securities depository pursuant to the law regarding securities and SET;

"Customer's Asset" shall mean Securities, cash, and any other properties placed with the Company by the Customer in order to perform the obligations to the Company and/or to fix the line of trading Securities, including any interests, rights or benefits deriving from Securities, cash, and other Asset such as dividends, interests, rights to purchase the shares in relation to the increase of capital, etc.;

"Purchase" shall also mean the subscription of Securities;

"Customer" shall mean the party of the Company in this Agreement, including any person authorized by the Customer to trade the Securities in lieu of and on behalf of the Customer, providing that such authorization shall be in accordance with the forms and procedures specified by the Company;

"Securities Trading via Cash Balance System" shall mean the trading of Securities which the Customer shall place money as collateral in the sufficient amount for each trading including remuneration paid to the Company, taxes, and other expenses relating to the trading of the Securities of the Customer, hereinafter referred to as the "Collateral" in order to be paid for the trading of Securities;

"Trading Line for Cash Balance System" shall mean the amount of money which the Company agrees to allow the Customer to trade the Securities under the limit of amount placed by the Customer with the Company as the Collateral less the amount to be paid for Purchase price plus the amount received from the selling of Securities;

"Securities Purchasing Price" shall mean the amount of purchasing the Securities or the amount of subscription of the Securities in each transaction, including brokerage fees and taxes, or any other expenses incurred from or related to such trading of the Securities which the Customer has the duty to pay to the Company;

"Securities Selling Price" shall mean the amount of selling the Securities in each transaction after deducting brokerage fees and taxes, or any other expenses incurred from or related to such trading of the Securities which the Customer has the duty to pay to the Company;

"Securities Trading via Internet Network System" shall mean the trading of Securities via public network connected through the Internet Service Provider (ISP) and/or by procedures pursuant to the rules, regulations, announcements, notifications of the Company, and/or SEC, and/or SET;

"User ID" shall mean personal User ID specified by the Company;

"Password" shall mean password specified by the Customer to enter into the trading Securities service system specified by the Company; and

"Pin Code" shall mean the code specified by the Customer to enter into the Trading of Securities via Internet Network System.

Any terms or contents in addition to the definitions specified above shall mean as defined pursuant to the Act, announcements, notifications, rules, regulations of SEC or SET, which is applicable on the date of this Agreement or any announcements or regulations subsequently issued to amend or replace the foregoing, as the case may be.

Unless otherwise both parties agreed in this Agreement, the current provisions of the Act, rules, regulations, announcements, notifications, decisions of the SEC, SET or the Civil and Commercial Code or the subsequent amendments or replacements laws, shall apply, as the case may be.

The Appointment and the Authorization to be Agent and/or Broker

Clause 2. The Customer agrees to appoint and authorize the Company to be its agent and/or broker to Purchase and/or sell the Securities either in and outside the SET for the Customer for every accounts opened by the Customer with the Company notwithstanding the Purchase and/or sell via any system which the SET and/or the Company currently established and will be established in the future. The Customer agrees to pay the Company the fees and/or brokerage fees as specified by the Company under the regulations of the SEC, including taxes and duties, fees, and any other expenses relating to the Purchase and/or sell of such Securities and consents the Company to adjust the rate of fees and/or brokerage fees for securities trading in accordance with the regulations and provisions specified by SEC or the Company.

Powers and duties of the Company to act as the agent and/or broker under the first paragraph shall include the powers to do the following:

2.1 To Purchase, sell, receive, transfer, transfer of the Securities, subscribe of the Securities including accept, deliver, hold, and possess such Securities;

2.2 To pay for the Securities Purchasing Price, fees, taxes and duties and any expenses relating to the trading or disposing of the Securities, demanding and acceptance of the payment of Securities Selling Price, including dividends, interests, or any other rights arising from the Securities, and the deduction of taxes and paying to the Revenue Department or relevant agencies;

2.3 To deduct from the Securities Selling Price and its interests by the Company in order to pay the Company any obligations of the Customers;

2.4 To dispose the existing Asset and Securities from the Customer's account or held by the Company or acquired from any acts as the agent, pledge the promissory note or Securities pledged by the Customer with the Company as Collateral for performance of its obligations. In the case where there is any enforcement to the pledge of the promissory note or the pledged Securities, the Company shall have the power to sign the application for the issuance of the scrip Securities or any relevant instruments;

2.5 For the purpose of the enforcement to sell the Customer's Securities due to his/her default of payment to the Company, if it has possessed the Customer's Securities instrument either by depositing or for whatever reasons, the Company shall have the power to take such Securities into the Scripless system on behalf of the Customer at the TSD, and this Agreement shall be deemed to be a power of attorney to authorize the Company to do so;

2.6 To appoint sub-agent to do any acts on behalf of the Company and sub-agent shall have the same power as the Company as specified in this Agreement;

- 2.7 To do any acts and things as authorized on behalf of the Customers against the Company under its own name or as the agents of the third persons;
2.8 To do and/or sign any relevant letters, documents, or instruments, or do any acts and things relevant to and necessary for pursuits the objectives as specified by this Agreement;
2.9 The parties agree that any rights, duties and responsibilities in the properties or obligations which the Company or sub-agent has been performed as the agent for Securities trading for the Customer prior to the entering into this Agreement shall be binding the Customer. It shall be deemed that the Customer ratified such matters.

The Purchasing Order and/or the Selling Order of Securities

Clause 3. In the case of purchasing or selling the Securities, the Customer may have the orders to the Company by verbally or in writing, or by telephone, facsimile, internet, or any other means which is normally and generally acceptable to the practice of Securities trading so that the Company is able to purchase and/or sell the Securities from time to time.

Clause 4. The Customer cannot cancel or change its orders given to the Company, unless such cancellation or change will be done before completion of the purchase or sale of such Securities and the Company is able to cancel or change such order in the due time. Such cancellation or change order shall be effective upon the confirmation by the Company to the Customer that the Company can do it in time. In such case, the Customer shall send the cancellation or change order by verbally or in writing, or by telephone, facsimile, other communication, or any other means which is normally and generally acceptable to the practice of Securities trading.

Clause 5. In the case of Securities Trading through any single account or several accounts of the Customer, the Customer is able to trade Securities at any time within the line as approved by the Company and pursuant to the Company's calculation procedure of the trading line for each account. The Customer accepts that the Company is entitled to increase or decrease such trading line or revise the calculation procedure without having to notify the Customer in advance.

In the case where the Company trades the Securities pursuant to the Customer's order in the amount exceeding the trading line previously approved by the Company, the Customer accepts to be binding under such order and trading as if the Company temporary increases the trading line. However, the Company shall not have any obligation to increase the trading line.

Clause 6. In the case of trading order of Securities, it shall be the order to trade the Securities in accordance with the same type and number issued by the same issuer, notwithstanding who orders, sells to whom, or who is the holder of such traded Securities. If the Company has to receive or deliver the Securities to the purchaser, the seller, or the Customer, it shall be substituted by the same kind, type, and number of the Securities.

Purchase of Securities

Clause 7. The Customer agrees to make the payment for the Securities Purchase ordered by him/herself to the Company for each transaction notwithstanding the purchase will be made in full under his/her order including fees, brokerage fees, and other expenses incurred and related to such matter to the Company within 3 business days from the date of purchasing such Securities, or within the period specified by SET and/or the Company. Such period may be revised as specified or announced by the SET and/or the Company from time to time without obtaining a consent from the Customer or having to notify the Customer in advance. In the event that the Customer pays for the Purchase of Securities by cheque, it shall be deemed that the payment is completed on the day which the cheque has been honored by the Bank.

Clause 8. If the Customer defaults on payment of Purchase of Securities by its order to the Company, the Customer agrees that the Company shall be entitled to charge for an interest at the highest rate as specified by laws (on the date of this Agreement, the interest rate is at 18% per annum from the date of default until the payment is made in full, however, such interest rate may be adjusted as announced by the Company from time to time) including the penalty at the rate as specified the SET and/or the Company from the due date until the payment is made in full. The Customer consents to the Company to be entitled to enforce to sell such Securities (Force Sell) and collateral to pay for the obligations which are due or will be due without having to notify the Customer in advance. If the amount acquiring from such sale is not sufficient to cover the amount of Purchase Securities, interests, penalties, expenses, and other obligations, the Customer shall be liable for all outstanding amount.

With respect to the Force Sell pursuant to the foregoing paragraph, the Customer agrees to be bound to such Force Sell as if it has been made by the Customer's order. The Customer consents to the Company that it is entitled to set off and/or deduct the amount acquiring from the Force Sell less fees, interests, penalties, and other expenses with any kind or type of accounts of the Customer owed to the Company, and that the Company is entitled to set off the interest or dividend obtained and possessed by the Company on behalf of the Customer with any types of obligations owned to the Company until it receives the payment in full without having to notify the Customer in advance.

Sale of Securities

Clause 9. In the case of selling Securities, the Customer shall deliver Securities and documents or instrument of transfer duly and completely made and signed including any other relevant documents to the Company.

9.1 In the case where the Customer gives an order to the Company to sell Securities without depositing Securities with the Company, the Customer shall provide the Company with the Securities ordering the Company to sell and documents, instrument of transfer duly and completely made and signed and any other relevant documents within the period specified by SET and/or the Company (the delivery time is currently by 12:00 p.m. of the business date after the date of the selling such Securities). Such period may be changed from time to time as specified and announced by SET and/or the Company without obtaining the Customer's consent or having to notify the Customer in advance.

9.2 In the case where the Customer transfers the Securities through the account at TSD which arranged for depositing, withdrawing or transfer of Securities of the member, it shall be deemed that the Customer delivered such Securities to the Company.

9.3 If the Customer keeps the Securities with the Company (it shall include Securities of the Customer which the Company deposited with the TSD), the Customer consents the Company to deliver such kept/deposited Securities under the selling order of the Customer.

Clause 10. After the Company obtained such Securities pursuant to Clause 9, it shall pay the amount of selling Securities after deducting fees, and other expenses to the Customer within the period specified by SET and/or the Company (on the date of this Agreement, the payment shall be made within the third business day from the date of selling of Securities). Such period may be changed from time to time as specified and announced by SET and/or the Company without obtaining the Customer's consent or having to notify the Customer in advance.

If the Customer still pays for the Securities Purchasing Price, damages, fees, or other monies to the Company, he/she shall be prior responsible for the payment of any outstanding in full. The Company then will pay the amount of Securities Selling Price to the Customer.

Clause 11. If the Customer fails to deliver the Securities to the Company which he/she orders to sell as specified in this Agreement, the Customer agrees and consents that the Company is entitled to purchase Securities in the amount ordered to sell for immediately delivery instead, or at any time as the Company deems appropriate without having to notify the Customer in advance. The Customer agrees to indemnify the Company for any damages incurred from the selling of Securities in all respects. It shall be deemed that the Customer obligates to the Company and agrees to be liable for the interest at the highest rate as prescribed by laws in the case of default including the penalty as prescribed by the SET and/or the Company.

Clause 12. The Company will pay the amount of Securities Selling Price to the Customer by crossed cheque indicating the name of the Customer's account, or transfer to the Customer's account, or other procedures as specified by the SET and/or the Company.

Clause 13. Prior to the Securities trading by cash, the Customer shall provide the Company with Asset as collateral for payment of the Securities Purchasing/Selling Price or any other relevant obligations. With respect to the Securities Trading via Cash Balance, it requires the rate at least 10% of the amount which the Customer can purchase but it shall not exceed the trading line approved by the Company.

In the necessary case for the operation of business of the Company or the Customer's understanding, the SET may consider to waive the collateral restriction pursuant to the first paragraph. The SET may also permit the Company to waive the collateral restriction if the Company considers that the trading of the Customer is low risk.

The Customer's Asset placed with the Company as the collateral pursuant to the foregoing paragraph may be cash, paid Listed Securities, and/or other Securities in accordance with the Notification of the Office of the SEC, regarding the Lending Funds for Purchase of Securities and Lending Securities to Non-Institution Customers for Short Sale by mutatis mutandis, including any other properties as specified by the SET. The Customer shall pledge and notify the pledge of Securities in accordance with the forms and procedures specified by the Company. Such collateral may be sold by public auction for payment of the Customer's obligation, or enforced, or seized the Asset until the Company receives the payment in full.

In addition, the Company may refuse to comply with any Customer's purchasing order if it exceeds the approved trading line and the Customer agrees not to claim for any damages or any expenses against the Company for this matter.

Margin Loan for Purchase of Securities

Clause 14. In the case where the Customer borrows a loan for Purchase of Securities (Margin Loan), the Customer agrees to comply with the rules, regulations, conditions and procedures specified and announced by SET, SEC, and/or the Company. The Customer shall sign the agreement in relation to Margin Loan for Purchase of Securities in the forms as determined by the Company which shall form an integral part of this Agreement.

Trading Line for Cash Balance System

Clause 15. In the case of Trading Line for Cash Balance System, the Customer agrees to place money with the Company as collateral for performance of his/her obligations before ordering to Purchase Securities. The Customer shall transfer such money to the Company's bank account and deliver the evidence of such transfer to the Company (PAY-IN slip), or any other evidence so that the Company can investigate prior to the trading. The Company agrees that the Customer is entitled to Purchase such Securities not exceeding the Trading Line for Cash Balance System.

Clause 16. Once the Customer makes a purchasing order in each transaction, he/she agrees that the Company can deduct from the collateral on the due date of payment for paying of the Securities Purchasing Price of the Customer.

If the Customer makes a selling order, the Company may add the amount obtaining from the selling of Securities after deducting fees, brokerage fees, and other expenses with the cash balance of the collateral on the due date of payment for paying of the Securities Selling Price for calculating of the Customer's subsequent trading line.

Clause 17. The Customer may increase the trading line by placing additional money as collateral prior to the Purchase of Securities. In this case, the Company will re-calculate the trading line by adding the cash balance of collateral and the amount obtaining from the selling of Securities pursuant to the second paragraph of Clause 16, with the additional money as collateral as the Customer's trading line.

Clause 18. If the Customer wishes to withdraw the collateral money, he/she shall prior inform the Company at least one business day, provided that such amount shall be the balance of the amount which is not due to pay for the Securities Purchasing Price pursuant to this Agreement.

Clause 19. The Customer agrees and consents that the Company is entitled to take the collateral placed under this Agreement to invest for benefits of depositing money, invest in bills or any financial instruments with financial institutions, or the bill issuer or drawer which has relationship with the Company notwithstanding it is a major company, subsidiary company or affiliated company pursuant to the notifications of the SEC.

The Customer acknowledges and understands that money placed as collateral shall not be under the protections of the Financial Institutions Development and Fund or other financial institutions which guarantee the deposit. The Customer may receive the interest on such collateral only from the balance which the Customer has not purchased the Securities in full. The interest rate of such collateral shall be in accordance with the announcement of the Company under the Notification of the SEC, regarding the separation of the Customer's account. The interest rate on the date of this Agreement is currently at% per annum, but it may be adjusted as announced by the Company from time to time which will calculate on the daily basis. The due payment shall be fixed onof every month.

The interest rate according to the foregoing paragraph shall not exceed the remuneration rate which the Company will receive from investment benefits as specified in the first paragraph.

Clause 20. With respect to the Trading Line for Cash Balance System under this Agreement, the Customer agrees not to trade the Securities exceeding the trading line approved by the Company. The Customer agrees and accepts any results arising from the trading due to its Purchasing and/or selling orders exceeding such trading line.

Securities Trading via Internet Network System

Clause 21. In addition to the normal procedure for sending of Securities trading order as specified in this Agreement, the Customer may send his/her order for Securities Trading via Internet Network System or system or any specified procedures under the conditions and approval of SET or SEC and/or the Company. The Customer having thoroughly studied and acknowledged such rules, regulations and procedures of such system. The Company will connect the trading system so that the Customer is able to record the trading order via computer or the authorized officer of the Company.

Clause 22. The Customer shall not use any software other than the Company's software connected through the Customer's computer equipment used in the trading order. The Customer shall prevent any other persons connecting their computer equipment with the Customer's computer equipment which would enable such person to record the trading order into the Company's computer system directly. The Company shall not be responsible for any damage which may occur from such acts in any case.

Clause 23. The Customer acknowledges and understands the rules, regulations, conditions and procedures in relation to the Securities Trading via Internet Network System and any risks which may occur. If the equipment or computer system of the Customer, the Company or the SET which is used for sending/accepting order via internet system is not worked and resulting in the sending/accepting order cannot be succeeded, the Customer hereby accepts any damages which may occur, either from the acts of the Customers or any other person, whether by dishonesty or not, such as the lost of data during the transaction, the slow process of sending the data, or cannot be sent, including any damages occurred by Force Majeure such as failure to connection, mal-function, or virus computer. In such case, the Company shall not be responsible for any damages in any case occurred unless such damage occurred by the Company's officer.

Clause 24. In the case where the personal data of the Customer is stolen by electronic method (Hack), loss, or damaged due to Force Majeure, the Company shall not be responsible for such damages in any case.

Clause 25. The Customer acknowledges his/her own duties to keep his/her Password and/or Pin Code as confidential. If any person, whether in his/her family, uses Password and/or Pin Code of the Customer in any case, the Customer shall be solely responsible for any damages.

Clause 26. In the case of unable to sending trading order via internet system due to failure of the equipment or computer system of the Customer or the Company, the Customer can make the trading order via telephone or any other methods permitted by the authorized officer of the Company. The Company will confirm the Customer of such Securities trading pursuant to the procedure as specified by the Company. It shall be deemed that the Customer acknowledges and accepts such conditions. If he/she does not object in writing to the Company within 24 hours from the period of such confirmation, it shall be deemed that the Customer consents that the Securities Trading made by the Company on the Customer's behalf to be true and correct and he/she shall not raise any objections against the Company in all respects.

Clause 27. The Customer warrants that he/she shall not order to sell Securities if he/she does not have Securities in his/her possession (Portfolio) via his/her internet account. If he/she fails to do so, the Customer accepts to be liable for any damages and agrees to pay the penalty which may occur from such action.

Clause 28. In the case of Securities Trading via Internet Network System or using any other services of the Company under this Agreement, the Customer agrees and accepts to be bound on the result of Securities trading or any other services shown by the Company in the internet system. The Customer shall examine the result of the Securities trading or any other services via the internet system pursuant to the forms and procedures as specified by the Company. The Company may or may not inform the result of Securities trading or any other services as it deems appropriate.

Consents and Warranties

Clause 29. The Customer hereby consents that the Company has the legal right to suspend the services, or reserve the right not to accept the trading order of Securities, or terminate to be the Customer's agent or immediately close the Customer's Securities trading account without having to notify the Customer in advance. The Customer hereby waives the right to claim for any damages against the Company in all respects and he/she shall have the right to terminate this Agreement only if he/she paid all obligations to the Company in full.

Clause 30. The Customer warrants and confirms that he/she has good knowledge and understanding the risks of investment in the Securities trading inside and outside of SET. The Customer clearly understands that there are any risks incurred from the change of the Securities value or collateral which may cause the loss to the Customer or must place additional collateral as specified by the Company and/or SET and/or SEC, including the nature and details of the Derivative Warrant trading in the SET and the conditions that the said Derivative Warrant may not be traded after its expiry. The Customer clearly understands that he/she is able to search for the information regarding the Derivative Warrant from the SET or securities company.

The Customer also knows that there are the risks of the investment and trading of the Derivative Warrant in many ways such as the risks of changing the Securities price which is used for referred assets which may cause an effect to the Derivative Warrant price (Price Risk), the risks of the unable to comply with the conditions of the Derivative Warrant by the issuer when the purchaser wishes to apply the right (Credit Risk), the risks of the unable to sell the Derivative Warrant at the proper price because of liquidity (Liquidity Risk), the risks of the the Derivative Warrant term which the purchaser may not use the right or sell before its expiration date (Expiry Risk). The Customer will carefully consider the investment in the Derivative Warrant and accepts the result which may occur in all respects.

Clause 31. The Customer warrants that he/she has the full ownership of the Securities delivered to the Company, and such Securities shall not be pledged, referred as the collateral, agreed to sell, under any encumbrance with any person. If there is any event that the Company as the agent and/or broker is unable to transfer to sell of Securities or deliver Securities to the Purchaser, the Customer agrees to immediately indemnify the Company in full for any damages as soon as the Company notifies the Customer.

Clause 32. The Customer warrants that he/she will comply with the rules, regulations and conditions of the Company and the existing regulations of SET and/or may be applied in the future. It also consents the Company to investigate and reveal the Customer's information to the entity which operates the credit data business, or other person as it deems appropriate.

Clause 33. With respect to the trading or subscribing order of Securities, the Customer agrees and accepts that if the Company uses its proper efforts and endeavors as the case may be, it cannot complete the trading or subscribing order of Securities either in whole or in part, the Customer accepts the result of such trading and subscribing of Securities. The Company shall not be responsible in any case.

In the case where the Customer has outstanding obligations for the due date, defaulted, or the Company considers that the Purchase or sell or subscription value of the Securities ordered by the Customer is not appropriate for his/her financial status, or the Company considers that it is appropriate for protection of the Customer/Company's benefit, the Company has the right not to purchase or sell or subscribe the Securities ordered by the Customer either in whole or in part as it deems appropriate.

Clause 34. With respect to the Securities trading order, it is the sole decision of the Customer. Any news, information, recommendation in relation to the Securities trading either by the Company or any employee of the Company shall not be bound or affected to the Company. In this case, the Company shall not be responsible for the Securities trading in any case.

Clause 35. The Customer warrants that he/she will not violate any rules, regulations, notifications, announcements, orders, any laws as specified by the SET, SEC and/or the Company. For example, the Customer shall not send the improper trading order which may affect to the trust in the whole trading system.

Collateral

Clause 36. The Customer agrees and consents that any existing Securities on the date of this Agreement or which may have in the future and held or possessed by the Company including interests and any other rights related to the Securities shall be the collateral for performance of Customer's outstanding obligations for the Company and it shall have the right to hold such collateral until receiving full payment.

Such collateral shall include any Securities delivered by the Customer to the Company, Securities purchased by the Company under the Customer's order and the right to subscribe the Securities paid by the Customer.

Custody and Safe-keeping of Customer's Asset

Clause 37. The Customer agrees that the Company will take care of the Customer's Asset for safe-keeping, and/or trading, or borrowing of Securities, and/or being as collateral for Securities trading or borrowing and/or any other benefits under the scope as specified by laws. The Customer agrees to pay for custody as specified by the Company.

Clause 38. The Customer clearly knows that the amount deposited with the Company shall not be protected by the Financial Institutions Development Fund.

Clause 39. The Customer knows and agrees that any withdrawal of the Asset shall be made in written forms as specified by the Company and shall inform the Company at least 3 business days in advance.

Clause 40. The Company may separate the Customer's Asset in accordance with notification by SEC. The Customer agrees and consents to the Company to deposit money of the Customer with any financial institutions no matter it has direct or indirect relationship with the Company as a major company, subsidiary company, affiliated company pursuant to the definitions specified in the notification of the SEC.

Clause 41. The Company shall not appoint any person to be its agent to custody the Customer's Asset unless such deposit will be made with the TSD or as specified by laws.

Clause 42. The Customer agrees and consents that the Company shall have the right to do any acts and things which may cause the effects of creating, changing, transferring, reserving or suspending of the right in the Customer's Asset unless both parties agree otherwise in writing.

Clause 43. The Company is not able to take asset of any Customer to use for its own benefits or other persons' benefits unless obtaining prior order or consent of the Customer on a case by case basis.

Clause 44. The Customer agrees and accepts that the Company can use the Customer's rights or benefits or do any things as necessary in order that the Customer can obtain the rights and benefits incurred from being the ownership of Securities or any instruments which is custody by the Company from the issuer of Securities or instruments within the proper period.

Clause 45. The Company shall deliver the list of Asset to the Customer once a month unless there is no transaction or change in Asset kept with the Company under this Agreement. In the case where the Customer has no transaction longer than 6 months, the Company will deliver the list of Asset to the Customer at every 6 months.

Miscellaneous

Clause 46. In the case where the Customer is subject to any order made for the appointment of an official receiver over its assets, temporary or permanently, becomes bankrupt, incompetent or quasi-incompetent, or died, and the Company knows such event, the Customer consents that the Company shall have the right to administer any and all Securities and/or Asset of the Customer as it deems appropriate in order to protect the benefits of the Customers and/or the Company. In this case, the Company shall be entitled to sell Securities either in whole or in part, or enforce the pledge of the promissory note pledged with Company as collateral in order to pay the outstanding obligations to Company.

Clause 47. The Customer warrants that he/she is lawfully and absolutely competent to enter into this Agreement without any restriction in all respects and that any personal information or news provided by the Customer at any time with the Company shall be true and correct information in all respects and that the Customer shall not conceal any information which is substantial to the Company.

Clause 48. The Company shall not be liable for any damage incurred to the Customer as a consequence of, or caused by the Company to comply with the laws, rules, regulations, notifications, or orders of the government or government agencies, SET or any officials including any damages incurred as consequence of Force Majeure or all other incidents beyond the Company's control.

Clause 49. If any provision of this Agreement is held to be void, invalid or unenforceable in any respect, such void, invalidity or unenforceability shall not affect or impair the remainder of such provision or any other provisions hereof.

Clause 50. This Agreement shall be effective and enforceable unless terminated by any party. In the case where the Customer terminates this Agreement, he/she shall prior pay all due and outstanding obligations including interests, penalties (if any), and damages or expenses to the Company in full. In this case, the Customer shall inform the Company in writing at least 15 days in advance.


In the case where the Customer is in default on any provisions of this Agreement, the Company shall have the right to immediately terminate this Agreement. Any consequence of termination for whatever reason shall not effect to any right of the Company and/or any obligation or responsibility of the Customer arising prior to the date of termination of this Agreement. The Company reserves the right to amend any provision of this Agreement to be consistent with the notifications, rules, or regulations as specified by the SET or SEC and/or the Company.

Clause 51. In the case where the Company waives for any Customer's performance of its obligations under this Agreement, or waive to use its rights to terminate this Agreement, it shall be deemed that such waiver is for temporary on a case by case basis.

Clause 52. This Agreement shall be governed by and construed in accordance with the laws of Thailand. All disputes hereto arises in connection with this Agreement shall be settled in the competent Court in Thailand.

Clause 53. Any communications, letters, and/or notices to be given by the Company to the Customer shall be deemed to be duly delivered if they are sent via internet, telephone, facsimile, telex, registered mail or non-registered mail, or messenger pursuant to the details and address given by the Customer to the Company as specified at the beginning of this Agreement. The Customer shall immediately notify in writing to the Company of his/her changing addresses together with evidence of such change.

The Customer have thoroughly read and understood the contents hereof and found them in full accordance with his/her intentions and without controversy. In evidence whereof, each has signed and seal (if any) on the day, month and year specified above in the presence of witnesses.

Sign  Customer
()

Sign Witness
()

Sign Witness
()

The Company agrees to accept the appointment as the Customer's agent and broker for trading of Securities under the terms and conditions here in above.
Adkinson Securities Public Company Limited by

Sign Authorized Person

Sign Authorized Person

Power of Attorney

Date.....Month.....B.E.....

This Power of Attorney is made at Adkinson Securities Public Company Limited, Bangkok Metropolis/Province....., as the evidence that I/we.....,

Address/Office No.....Moo.....Trok/Soi.....Road.....
Khwaeng/Sub-district.....Khet/District.....Bangkok/Province.....Post Code.....,

by (if juristic person)....., as the authorized person, to sign on its behalf, hereinafter referred to as "Grantor", hereby authorized Adkinson Securities Public Company Limited, hereinafter referred to as "Grantee", as my/our general Grantee to trade Securities in the SET and other place in lieu of and on my/our behalf and to do any acts and things pursuant to the Agreement Appointing An Agent/Brokerage for the Trading of Securities which Grantor made with Grantee dated....., including any addendum to the Agreement Appointing An Agent/Brokerage (hereinafter referred to as the "Agreement Appointing An Agent") to have the powers as follows:


1. To purchase, sell, transfer, accept the transfer and reserve to purchase any and all kinds and type of Securities trading in the SET and other place in the amount and price pursuant to Grantor's order, and accept the receipt, deliver, hold and possess of such Securities;
2. To pay for Securities Purchasing Price, fees, stamp duties, and any expenses in relation to the trading or dispose of Securities, demanding, and receiving of the payment of Securities Purchasing Price including dividends, interests, or any other rights incurred from the Securities and the deducting of income tax and paying to the Revenue Department;
3. To accept the transfer of Securities or right attached to Securities which Grantor ordered to purchase according to the Agreement Appointing An Agent in the name of the Grantee as Grantee's agent and apply such rights to attend the shareholders' meeting and vote in lieu of Grantor's behalf in all respects;
4. To take the amount incurred from the Securities Selling price and the interests pursuant to Clause 2 and pay for Grantor's obligations under the Agreement Appointing An Agent and/or take the Securities purchased by the Grantee on my/our behalf to pledge or place as collateral for payment of my/our obligations under such Agreement as Grantee deems appropriate;
5. To permit Grantee to deliver or take to and/or pledge the purchased Securities or hold them by Grantee on my/our behalf as collateral for payment of Grantor's obligations with Grantee;
6. To deposit money as promissory note with financial institution, receive the delivery of promissory note, pledge promissory note pursuant to the agreement to purchase Securities in margin loan account of Grantor which is made with Grantee, release of promissory note, demand of promissory note, receive of money from promissory note, cancel of promissory note and request the promissory note issuer to issue a new promissory note in lieu of the former one, pledge the new promissory note in lieu of the former one;
7. To appoint sub-Grantee to do any acts and things on behalf of the Grantee with the same power as given under this power of Attorney;
8. Grantor hereby give a consent to Grantee to do any acts and things authorized on behalf of Grantor or Grantee him/herself, or as the representative of the third person;
9. To dispose Securities held or acquired on behalf of Grantee and/or enforce the pledge of promissory note pledged as collateral and receive money from such acts to pay for Grantee's obligations. In the case of the enforcement of pledge of promissory note or any pledged Securities, Grantee shall have a power to sign in the application for issuance of script on my/our behalf.
10. To make and/or sign in any letter, document, instrument which is relevant to and necessary for pursuits the objective under this Power of Attorney.

Any acts and things performed by Grantee or sub-Grantee appointed by virtue of this Power of Attorney or the above Agreement shall be binding upon me/us as if they were done by myself/ourselves which I/we agree to take such responsibility in full.

In witness whereof, I/we have caused this Power of Attorney to be executed in the presence of the witnesses.

Grantor :

Grantee by :

()

()

Witness :

Witness :

()

()

Witness :

Witness :

()

()

Authorization to Other Person to Act on Behalf

Power of Attorney

Made at Adkinson Securities Public Company Limited

Date.....Month.....B.E.....

I/We.....Age.....yrs,
Address/Office No.....Moo.....Trok/Soi.....Road.....
Khwaeng/Sub-district.....Khet/District.....Bangkok/Province.....Post Code.....
By (if juristic person)....., as the authorized person, to sign on its behalf, hereinafter referred to as "Grantor", hereby enter into the Agreement Appointing An Agent/Brokerage for The Trading of Securities.....Account with Adkinson Securities Public Company Limited dated.....

For the convenience in the Securities trading or do any acts and things pursuant to the above Agreement, I/we hereby authorized the following person(s),
Mr./Mrs./Miss.....Age.....yrs, residing at No.....Moo.....Trok/Soi.....Road.....
Khwaeng/Sub-district.....Khet/District.....Province.....
ID Card No.....issued on.....; and/or

Mr./Mrs. Miss.....Age.....yrs.
residing at.....Moo.....Trok/Soi.....Road.....
Khwaeng/Sub-district.....Khet/District.....Province.....
ID Card No.....issued on....., hereinafter referred to as "Grantee", as my/our general Grantee to do any and all acts and things on my/our behalf pursuant to the Agreement Appointing An Agent/Brokerage for The Trading of Securities and/or any agreements for Securities trading which I/we made with Adkinson Securities Public Company Limited to have the powers as follows:

1. To purchase, sell, transfer, accept the transfer and reserve to purchase any and all kinds and types of Securities trading in the SET and other place in the amount and price pursuant to my/our order, and accept the delivery of, hold and possess such Securities;
2. To pay for Securities Purchasing Price, fees, stamp duties, and any expenses in relation to the trading or dispose of Securities, demanding, and receiving of the payment of Securities Selling Price including dividends, interests, or any other rights incurred from the Securities including the deducting of income tax and paying to the Revenue Department;
3. To accept the transfer of Securities or right attached to Securities which I/we ordered to purchase according to the Agreement Appointing An Agent/Brokerage for The Trading of Securities in the name of the Grantee as my/our agent and apply such rights to attend the shareholders' meeting and vote on my/our behalf in all respects;
4. To take the amount incurred from the Securities Selling Price and its interest pursuant to Clause 2 and pay for my/our obligations under the Agreement Appointing An Agent/Brokerage for The Trading of Securities and/or pledge it or place the amount incurred from Securities purchased by Grantee on my/our behalf as collateral for payment of my/our obligations under such Agreement as Grantee deems appropriate;
5. To permit Grantee to deliver or places, take to and/or pledge the purchased Securities or hold on my/our behalf as collateral for payment of my/our obligations to the Company or any obligations which the Company owed to other person or obligations of other person;
6. To appoint sub-Grantee to do any acts and things in lieu of Grantee under the same power as given by this Power of Attorney;
7. I/we give a consent to the Grantee to do any acts and things authorized on my/our behalf, or the Grantee's behalf, or as the representative of the third person;
8. To dispose Securities held or acquired as Grantee and/or enforce the pledge of promissory note pledged as collateral and receive money from such acts to pay for my/our obligations. In the case of the enforcement of pledge of promissory note or any pledged securities, Grantee shall have the power to sign in the application for issuance of script on my/our behalf.
9. To make and/or sign in any letter, document, instrument which is relevant to and necessary for pursuits the objectives under this Power of Attorney.

Any acts and things performed by Grantee or sub-Grantee appointed by virtue of this Power of Attorney or the above Agreement shall be binding upon myself/ourselves as if I/we were done by myself/ourselves which I/we agree to take such responsibility in full.

In witness whereof, I/we have caused this Power of Attorney to be executed in the presence of the witnesses.

..... Grantor
(.....)

..... Grantee
(.....)

..... Grantee
(.....)

..... Witness
(.....)

..... Witness
(.....)

..... Consented by Spouse of the Grantor
(.....)



SIGNATURE CARD

Date _____

** Head Office _____ ** Branch _____

Name (Mr./Mrs./Miss) _____ Last Name _____ Code _____

Name of Grantee (if any)

1. (Mr./Mrs./Miss) _____

2. (Mr./Mrs./Miss) _____

Signature Specimen

ū _____

ū _____

Company Seal (if any)

For Company's Use Only

Recorder :

Sign _____

Date _____

Inspector :

Sign _____

Date _____



SIGNATURE CARD

Date _____

** Head Office _____ ** Branch _____

Name (Mr./Mrs./Miss) _____ Last Name _____ Code _____

Name of Grantee (if any)

1. (Mr./Mrs./Miss) _____

2. (Mr./Mrs./Miss) _____

Signature Specimen

ū _____

ū _____

Company Seal (if any)

For Company's Use Only

Recorder :

Sign _____

Date _____

Inspector :

Sign _____

Date _____

For Company's Use Only

Customer Code _____

Application for Approval of Securities Trading Line

Type of Account and Requested Trading Line

Unit : Baht

Trading Line Type	Previous Trading Line	Requested Trading Line
** Cash Account		
** Cash Balance Account		
** Margin Loan Account - ASL		
** Margin Loan Account - TSFC		
** _____		
Total		

Comment of Marketing Officer

I Financial Status/Abilities for Payment _____

 I Knowledge and Understanding of Investment and Investment Purpose

 I Conclusion _____

 Sign _____ Code (A/O) _____
 () Date _____

Supervisor / Highest Executive of Branch /
Sales Manager, Head Office

** Approved Trading Line _____ Baht
 ** Not approve ** Should be presented to the Highest Executive

 Sign _____
 Date _____

i Sales Group Director
 i Assistant Sales Manager

** Approved Trading Line _____ Baht
 ** Not approve ** Should be presented to the Highest Executive

 Sign _____
 Date _____

Highest Executive _____

** Approved Trading Line _____ Baht
 ** Not approve ** Should be presented to the Board

 Sign _____
 Date _____

Consideration of Board

** Approved Trading Line _____ Baht
 ** Not approve ** Should be presented to the Managing Director

 Sign _____
 Date _____

i Managing Director
 i Executive Chairman

** Approved Trading Line _____ Baht
 ** Not approve ** Should be presented to the Executive Board

 Sign _____
 Date _____

Opening Account Section

Sign _____ Recorder
 Date _____

Sign _____ Inspector
 Date _____



Application for Service of Securities Trading via Internet Network System

For Customer

Name _____ Customer Code _____ Branch _____

Type of Securities Trading Account

☐ Cash Account
 ☐ Margin Loan Account - ASL
☐ Cash Balance Account
 ☐ Margin Loan Account - TSFC

Customer Information

☐ Opening New Account
 ☐ Existing Account with the Company
 E-mail address _____
 Username _____ Telephone Number _____

Customer's Signature

Date _____

The Customer will determine username him/herself by registering via website www.adkinsononline.com at menu "Open Account".

For Company's Use Only

• Comment of Marketing Officer

, Supervisor

Date of Opening Account ____/____/____ Trading Line _____ Baht
 Volume of Securities Trading for last 6 months _____

Comment _____

Sign _____
 (_____)

Date _____

Internal Telephone Number of Marketing Officer _____

Comment _____

Sign _____
 (_____)

Date _____

f Operating Department (Please skip process of **f** and **„** for the Customer Opening New Account)

Payment record for last 6 months _____

Sign _____
 (_____)

Date _____

„ Operating Department / Highest Executive of Signature Inspector Branch Sign _____ (_____) Date _____	... Approved by Highest Executive Sign _____ (_____) Date _____	† Internet Department for Customer's Information Inspection Sign _____ (_____) Date _____	‡ Customer Register Department for Information Record to the System Sign _____ (_____) Date _____
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Services of Securities Trading Via Internet Network System (Intranet & Internet)

I/We.....Age.....yrs.,
residing at.....
would like to apply for services of obtaining data and/or trading of Securities listed in the SET via internet network online system
(Intranet & Internet).

I/We having thoroughly acknowledged, understood and agreed not to send any order that intends to affect the false prices on the Securities trading to the extend to be violated the regulations of the SET regarding the trading, paying and delivering of Securities in the SET (No. 2), B.E. 2542 (1999), Chapter 5, regarding performance of members concerning securities trading which prohibits the Company to do any acts that may or would result in the change or non-change of price or trading volume of Securities that does not correspond to the normal market condition (False Market) such as:

1. Sending purchasing or selling orders at the amount of 100-200 shares for several transactions that can be pushed up or down the price in that period, especially in the circumstance of trading of securities with high liquidity.
2. Sending large number of purchasing or selling orders without truly intention to buy or sell such Securities in order to mislead the general public to believe that such Securities would have large number of purchasing or selling orders at that period, and when it is likely to execute, the Customer immediately cancel such orders or sending purchasing or selling orders in pre-open (Pre Open) or pre-close (Pre Close) period at the higher or lower price than it should be and cancel it later.
3. Sending purchasing or selling orders at the higher or lower price than it should be which causes an effect to the normal market conditions and/or the SET Index in Pre Open period, during trading period, Pre Close period and especially call market (Call Market) period before closing.
4. Sending purchasing or selling orders which is traded at the first day at the higher or lower price than the initial public offering price (IPO) or the price expected to be the opening/closing price (Project Price) of such Securities and cause an effect to the normal market conditions and/or the SET Index in Pre Open period, during trading period, Pre Close period and especially Call Market period before closing.
5. Sending purchasing or selling orders as Basket Order in the trading system and intending to purchase or sell in full number which the officers have their discretion to separate a part of Securities in the amount of approximately 10-20% in order to prepare the trading transaction in Call Market period with the intention to control the closing price and may cause an effect to such Securities price and SET Index.
6. Sending purchasing or selling orders which the person who lastly obtain benefits from such trading is the same person or sending purchasing orders which already knew that he/she or his/her conspiracy ordered or will order to sell such Securities of the same juristic person or mutual fund project, at the same type or kind, similar number, price, period, or sending selling orders which already knew that he/she or his/her conspiracy ordered or will order to purchase such Securities of the same juristic person or mutual fund project, at the same type or kind, similar number, price, or period.

I/We having thoroughly read and understood all contents hereof and execute below.

	Sign  Customer (.....) Date
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